## PATENT SPECIFICATION

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## (54) AQUEOUS ALCOHOLIC, FOAM-GROWTH-CONTROLLING PRINTING INK COMPOSITIONS

(71) We, CONGOLEUM COR-PORATION a corporation organized and existing under the laws of the State of Delaware, United States of America, of 777 East Wisconsin Avenue, Milwaukee, State of Wisconsin, United States of America, do hereby declare the invention, for which we pray that a patent may be granted to us, and the method by which it is to be performed, to be particularly described in and by the following statement:—

The present invention relates to improved aqueous-alcoholic, foam-growth-controlling printing ink compositions of use in chemical embossing techniques and to processes of using such aqueous-alcoholic, foam-growth-controlling printing ink compositions in the chemical embossing of floor, ceiling, or wall coverings, desk, table, and counter tops; coated or impregnated fabrics; upholstery and clothing materials; book covers; automobile interiors; and like articles.

Chemical embossing techniques have been used widely in industry in the manufacture of floor, ceiling, and wall coverings; desk table, and counter tops; coated and impregnated fabrics; and like articles, as more particularly described in 30 United States Patents 3,293,094 and 3,293,108 which issued December 20, 1966 to R. F. Nairn et al.

Such chemical embossing techniques have normally involved coating a base material, such as an asbestos sheet, with a substantially uniform layer of a resinous material, such as polyvinyl chloride or other resinous material. A foaming or blowing agent, such as azodicarbonamide, is normally uniformly dispersed in the resinous material and is adapted, upon subsequent heating to a sufficiently elevated temperature; to decompose and to liberate gaseous decomposition products to expand and create the foamed, blown resinous product.

One aspect of such chemical embossing techniques has comprised the printing of selected, predetermined areas of the surface of the heat-foamable resinous material, prior to the heating, with a foam-growth-controlling printing ink composition which is capable of affecting and changing the foam-growth of those selected, predetermined areas when the heat-foamable resinous materials are subsequently heated to the required elevated temperature.

Such foam-growth-controlling printing ink compositions have contained either: (1) agents for inhibiting or suppressing the decomposition of the foaming or blowing agents to thus decrease the resulting expanding gaseous action on the foamable resinous materials and to correspondingly decrease the foam-growth in the selected, predetermined areas to which they are applied; or (2) agents for catalyzing or accelerating the decomposition of the foaming or blowing agents to thus increase the resulting gaseous action on the foamable resinous materials and to correspondingly increase the foam-growth in the selected, predetermined areas to which they are applied.

As a consequence, the surface of the resulting, foamed or blown resinous materials have been given an embossed or relief pattern effect containing relatively higher areas or lands and relatively lower areas or valleys, thus providing excellent surface contrast.

The foam-growth-controlling printing ink compositions have thus contained both: (1) the foam-growth-controlling agents; and (2) the pigments, inks, dyes, or other coloring materials, whereby the resulting embossed of relief pattern effects have been made to coincide very accurately and very precisely with the ink-printing pattern, thus creating excellent visual and tactile effects which are in substantially perfect alignment and registry at all times.

More specific details and particulars of such chemical embossing techniques may be found in the United States Patents 50

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previously referred to herein and such details and particulars are incorporated

herein by reference thereto.

Although a number of organic solventbased foam-growth-controlling printing ink compositions have been used previously in such chemical embossing manufacturing techniques, and have proved to be relatively successful therein, it is always 10 desired to improve upon existing commercial and industrial techniques. Organic solvent-based printing inks are relatively expensive, are usually very difficult to remove or clean from printing equipment, are occasionally not adaptable for use with conventional commercial printing equipment, are often flammable or have relatively low flash points which are unfortunately conducive to dangerous or hazardous conditions, frequency cause significant waste, ecological, or pollution problems, and sometimes required costly and time-consuming solvent-recovery

It is therefore a principle purpose and object of the present invention to provide improved aqueous-alcoholic, foam-growthcontrolling printing ink compositions for use with chemical embossing processes, which compositions are relatively less expensive, are normally easier to remove and clean from printing ink equipment, are more adaptable for use with conventional. printing equipment, are less flammable and have higher or effectively no flash points, do not create dangersous or hazardous conditions, are less prone to create significant waste, ecological, or pollution problems, and normally do not require costly or time-consuming solvent recovery

programs.

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In accordance with the invention there is provided an aqueous-alcoholic, foamgrowth-controlling printing ink composition having a pH of from 8 to 12 of use in the chemical embossing of heatfoamable and expandable resinous materials comprising: (1) from 1 to 15% of a foam-growth-controlling chemical agent which is soluble or dispersible in said aqueous-alcoholic, foam-growthcontrolling printing ink composition and sufficiently stable therein as to retain its foam-growth-controlling properties; (2) from 1 to 9% of a water-soluble or waterdispersible alcohol having from 1 to 6 carbon atoms; (3) from 1 to 11% of a pH controlling or buffering agent capable of creating or maintaining the pH of said aqueous-alcoholic, foam-growthcontrolling printing ink composition in the range of from 8 to 12; (4) from 30 to 75% of an aqueous printing ink composition; and (5) from 10 to 40% of added water, sufficient to adjust the viscosity of said aqueous-alcoholic, foam-growthcontrolling printing ink composition to desired levels for printing on said heatfoamable and expandable resinous materials. Such an aqueous-alcoholic, foam-growth-controlling printing ink composition is capable of being applied to the surface of heat-foamable and expandable resinous materials in selected, predetermined areas in processes wherein the benefits and the advantages of chemical embossing manufacturing techniques may be realized without suffering many of the detriments and disadvantages previously encountered in the use of organic solventbased printing ink compositions.

The amount of the foam-growthcontrolling agent used in the formulation of the printing ink composition depends upon the degree of the embossing or relief effect

which is desired or required.

The present invention will be described in greater particularity with respect to benzotriazole as the foam-growth-controlling agent. The agent may be a pentatomic heterocyclic ring compound containing three nitrogen atoms and two carbon atoms in the pentatomic heterocyclic ring.

A more complete list of applicable and utilizable foam-growth-controlling agents includes aminotriazoles such as 3 - amino -1,2,4 - triazole; 4 - amino - 1,2,4 - triazole; 1 - amino - 1,2,3 - triazole; 1 - amino - 1,3,4 triazole; 1,2,4 - triazole; 1,2,3 - triazole; and 100

mixtures of such triazoles.

Such foam-growth-controlling agents must be sufficiently soluble or dispersible in the aqueous-alcoholic printing ink compositions and they must be sufficiently stable therein as not to be convertible, through hydrolysis or alcoholysis or otherwise, into other chemical forms in which they lose their foam-growthcontrolling properties and characteristics.

The improved aqueous-alcoholic, foamgrowth-controlling printing ink compositions also contain an alcohol which water-soluble or water-dispersible, contains from 1 to 6 carbon atoms, and is present in an amount of from 1% to 9% by weight, based on the total weight of the aqueous-alcoholic, foam-growthcontrolling printing ink composition.

Although such an alcohol is, of course, organic in nature, and is preferably an alkylalcohol, its concentration of only from 1% to 9% is to be contrasted to organicsolvent-based printing ink compositions of the prior art which normally contained organic solvents such as methyl ethyl ketone, methyl isobutyl ketone, xylol, toluol etc., in concentrations of from 45% to 7% by weight.

Although the present invention will be 130

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described in greater particularity with respect to isopropanol as the preferred alkyl alcohol, a more complete list of applicable and utilizable alcohols includes: methanol, ethanol, 1-propanol, 1-butanol, 2-pentanol, hexanol.

The improved foam-growth-controlling printing ink compositions also contain a pH controlling or buffering agent which is present in an amount of from 1% to 11% by weight, based on the total weight of the foam-growth-controlling printing ink

composition.

Although the present invention will be described in greater particularity with ammonia respect to OI dimethylaminoethanol as the preferred pH controlling or buffering agents, a more complete list of applicable and utilizable agents which are capable of creating or maintaining a pH in the range of from 8 to 12 includes: boric acid-sodium tetraborate (borax) yielding a buffered pH of about 8.5; sodium tetraborate yielding a buffered pH of about 9.2; boric acid-sodium hydroxide yielding a buffered pH of about 9.2; sodium bicarbonate - sodium carbonate yielding a buffered pH of about 10.2: disodium phosphate-sodium hydroxide yielding a buffered pH of about 11.5; etc. The concentration of these buffering agents is normally in the range of about 0.1 molar, unless specifically indicated otherwise. Other alkylaminoalcohols are also of use and include: 2-diethylaminoethanol; methylamino-ethanol; 2-ethylaminoethanol.

Care should be taken to maintain the pH of the aqueous-alcoholic foam-growth-controlling printing ink compositions within the indicated pH ranges of from 8 to 12. In the event that ammonia or other relatively volatile material is used to establish or to maintain the desired pH range, care should be taken and additional amounts of the ammonia or other alkaline materials or the other relatively volatile materials should be supplied, if and when needed, to prevent the pH from dropping below a value of about 8.

As used herein, the term "ammonia" is not intended to include pure ammonia such as the pure gas or the compressed or cooled liquid ammonia (NH<sub>3</sub>) but is intended to include solutions of ammonia in water, i.e. aqua ammonia having from 25% to 30% NH<sub>3</sub> or from 24° to 27° Baumé.

The specific water-base gravure printing ink which is employed does not relate to the essence of the present invention, other than the fact that it must be aqueous. It can be a pigment dispersion in a latex emulsion or a solution, and water-soluble acrylic or melamine resins, for example, may be used. The remainder of the formulation of the printing ink composition is a solution.

Specific suitable and utilizable printing ink compositions are: AIY-33 Yellow, AIR-39 Red, AIW34 White, AIB-35 Phthalo Blue, Aqueous Printing Inks from Custom Chemical Company. RBH-991B001 White, RBH-991B022 Lemon Chr. Yellow, RBH-991B003 Phthalo Blue, RBH-991B004 Furnace Black, etc. from Inmont Corporation and GV-21647 Vynaprint Black Conc. from Polychemical Corporation. These printing ink compositons may be used as is, or various combinations thereof may be employed to obtain various color and shade possibilities. The expression "printing ink composition" as used herein is also intended to cover pigment-free printing compositions containing an extender such as AIC-28 Clear Aqueous Printing Ink from Custom Chemical Company.

These aqueous printing ink compositions are present in amounts of from 30% to 75% by weight, based on the total weight of the aqueous-alcoholic, foam-growth-

controlling composition.

Inasmuch as these aqueous printing ink compositions are commercially available and used as aqueous solutions or dispersions having specified concentrations of coloring materials by solids therein, the actual or real solids content of the ink, pigment, dye or other coloring material is actually in the range of from 3% to 45% of the total weight of the foam-growth-controlling printing ink composition. Naturally, aqueous printing ink compositions which are commercially available with pH ranges of from about 8 to 12 are preferred, inasmuch as such will obviate the necessity of adjusting their pH values to such a range, prior to their use.

Water is normally included in added amounts of from 10% to 40% in order to bring the consistency of the viscosity of the aqueous-alcoholic, foam-growth-controlling printing ink composition to desired ranges for best handling by the printing equipment. Such viscosities are normally in the range of from about 20 to

about 60 seconds, Zahn 3 cup.

The invention will be further illustrated in greater detail by the following specific Examples. It should be understood, however, that, although these Examples may describe in particular detail some of the more specific features of the present invention, they are given for purposes of illustration and are not be construed as limitative of the broader aspects of the

present invention.

## **EXAMPLE I**

The aqueous-alcoholic, foam-growth-controlling printing ink composition comprises:

		· ye · ·		
	Benzotriazole 6.5%	EXAMPLE II		
	Isopropanol 3.8%	Benzotriazole	1.0%	
	2-Dimethylaminoethanol 4.1%	Isopropanol	1.5%	65
_	Added water 22.8%	2-Dimethylaminoethanol	3.0%	05
5	AIY-33 Yellow Ageuous	Added Water	31.7%	
	Printing Ink; acrylic resin	AIY-33 Yellow Aqueous Printing	. 51.770	
	base; 30% pigments solids:	Ink	62.8%	
	48.2° total non-volatiles;		02.070	
	remainder water 62.8%	EXAMPLE III	0.004	70
		Benzotriazole	9.8%	
10	The benzotriazole, 2-	Isopropanol	5.7% 6.2%	
	dimethylaminoethanol, isopropanol and	2-Dimethylaminoethanol	6.2%	
	water are mixed under a high speed mixer	Added Water	33.2%	
	and the resulting formulation is added to	AIY-33 Yellow Aqueous	45.404	75
	the AIY-33 aqueous printing ink	Printing Ink	45.1%	
15	composition with stirring. The pH of of the	EXAMPLE IV		
	aqueous-alcoholic, foam-growth-controlling	Benzotriazole	6.5%	
	remains in the range of 8 to 12 at all times	Isopropanol	3.8%	
	during the Example.	Ammonia 26° Baumé; 29.4%	212/0	80
20	The foam-growth-controlling printing ink	NH <sub>3</sub>	4.1%	•
20	composition is printed on selected,	Added Water	22.8%	
	predetermined areas of a gelled, foamable	AIY-33 Yellow Aqueous		
	plastisol formulated by mixing the following	Printing Ink	62.8%	
	ingredients on a Cowles mixer:	EXAMPLE V		0.5
36	Parts	- Aminotriazole	6 50/	85
25	Polyvinyl chloride, dispersion	Isopropanol	6.5% 3.8%	
	grade, specific viscosity 0.6 50	2-Dimethylaminoethanol	1 19/	
	Polyvinyl chloride, dispersion	Added Water	4.1% 22.8%	
	grade, specific viscosity 0.4 50	AIY-33 Yellow Aqueous	22.0/0	90
30	Butyl benzyl phthalate	Printing Ink	62.8%	90
50	plasticizer 25	<del>-</del>	02.0/0	
	Di-(2-ethyl hexyl) phthalate plasticizer 45	EXAMPLE VI	e 50 e	
	Titanium dioxide pigment (55%	Benzotriazole	6.5%	
	solids) 7	Methanol	3.8%	
35	Azodicarbonamide blowing	2-Dimethylaminoethanol	4.1%	95
"	agent 3	Added Water	22.8%	
	V. M. & P. naphtha (boiling	AIY-33 Yellow Aqueous	63.007	
	range 190°275°F.) 5	Printing Ink	62.8%	
	Tunge 170 ==2/3 1.j	EXAMPLE VII		
	Heating at 375°F decomposes the	Benzotriazole	6 59/	100
40	azodicarbonamide blowing agent to create	Ethanol	6.5%	100
	the desired blowing and foaming function.	2-Dimethylaminoethanol	3.8% 4.1%	
	An embossing depth of about 26 mils is	Added Water	22.8%	
	obtained at about 59 mils foam. A wear	AIY-33 Yellow Aqueous	22.0/0	
	layer of about 10 mils is used. The foam-	Printing Ink	62.8%	105
45	growth-controlling properties and	Timung Inc	02.0/0	103
	characteristics of the printing ink	EXAMPLE VIII		
	composition are well demonstrated in this	Benzotriazole	6.5%	
	Example.	Isopropanol	6.5% 3.8%	
	·	2-Diethylaminoethanol	4.1%	
	ADDITIONAL EXAMPLES	Added Water	22.8%	110
50	The procedures decribed in Example I	AIY-33 Yellow Aqueous	22.070	
	are followed substantially as set forth	Printing Ink	62.8%	
	therein in the following Examples with the		02.070	
	exception that the aqueous-alcoholic,	EXAMPLE IX		
	foam-growth-controlling composition of	Benzotriazole	6.5%	
55	Example I is replaced by the aqueous-	Isopropanol	6.5% 3.8%	115
	alcoholic, foam-growth-controlling printing	2-Dimethylaminoethanol	4.1%	
	ink compositions indicated below. The	Added Water	22.8%	
	results of these additional Examples are	AIR-39 Red Aqueous Printing	, ,	
<i>(</i> 0	generally comparable to the results of	Ink; acrylic resin base; 3.5%		
60	Example I and the foam-growth-controlling	pigments solids; 30.5% total		120
	properties of the printing ink compositions	non-volatiles; remainder		
	are well demonstrated in these Examples.	water	62.8%	
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	EXAMPLE X	
	Benzotriazole	6.5%
	Isopropanol .	3.8%
	2-Dimethylaminoethanol	4.1% 22.8%
5	Added Water	22.8%
	AIW-34 White Aqueous	•
	Printing Ink; acrylic resin	
	base; 30.0% pigments solids; 48.2% total non-volatiles;	
	48.2% total non-volatiles;	
10	remainder water	62.8%
	EXAMPLE XI	
	Benzotriazole	6.5%
	Isopropanol	3.8%
	2-Dimethylaminoethanol	4.1%
15	Added Water	22.8%
	AIB-35 Phthalo Blue Aqueous	
	Printing Ink; acrylic resin	
	base; 7.0% pigments solids;	
20	total non-volatiles 31.0%;	<b>60.00</b>
20	remainder water	62.8%
	EXAMPLE XII	
	Benzotriazole	6.5%
	Isopropanol	3.8%
25	2-Dimethylaminoethanol	4.1%
25	Added Water	22.8%
	AIC-28 Clear Extender Aqueous	
	Printing Ink; acrylic resin	
	base; 0% Pigments solids;	
30	total non-volatiles 28.6%; remainder water	62 80/
50	remainder water	62.8%
	Although assumation as a Circ Est	

Although several specific Examples of the inventive concept have been described, the same should not be construed as limiting the broader aspects of the present invention which include other equivalents features and materials, as set forth and defined in the appended claims.

## WHAT WE CLAIM IS:-

1. An aqueous-alcoholic, foam-growthcontrolling printing ink composition having a pH of from 8 to 12 of use in the chemical embossing of heat-foamable and expandable resinous materials comprising: (1) from 1 to 15% of a foam-growth-controlling chemical agent which is soluble or dispersible in said aqueous-alcoholic, foam-growth-controlling printing ink composition and sufficiently stable therein as to retain its foam-growth-controlling properties; (2) from 1 to 9% of a watersoluble or water-dispersible alcohol having from 1 to 6 carbon atoms; (3) from 1 to 11% of a pH controlling or buffering agent capable of creating or maintaining the pH of said aqueous-alcoholic, foam-growthcontrolling printing ink composition in the range of from 8 to 12; (4) from 30 to 75% of an aqueous printing ink composition; and (5) from 10 to 40% of added water, sufficient to adjust the viscosity of said aqueous-alcoholic, foam-growthcontrolling printing ink composition to desird levels for printing on said heatfoamable and expandable resinous materials.

2. A printing ink composition as defined in Claim 1 wherein said foam-growthcontrolling chemical agent is benzotriazole.

3. A printing ink composition as defined in Claim 1 wherein said foam-growthcontrolling chemical agent is an aminotriazole.

4. A printing ink composition as defined in Claim 1 wherein said alcohol is isopropanol.

5. A printing ink composition as defined in Claim 1 wherein said alcohol is methanol.

6. A printing ink composition as defined in Claim 1 wherein said alcohol is ethanol. 7. A printing ink composition as defined in Claim 1 wherein said pH controlling or buffering agent is 2-dimethylaminoethanol.

A printing ink composition as defined in Claim 1 wherein said pH controlling or buffering agent is 2-diethylaminoethanol.

9. A printing ink composition as defined in Claim 1 wherein said pH controlling or buffering agent is ammonia.

10. A printing ink composition as defined in Claim 1 wherein said foam-growthcontrolling chemical agent is a pentatomic heterocyclic ring compound containing three nitrogen atoms and two carbon atoms in the pentatomic heterocyclic ring.

11. A process for chemically embossing heat-foamable and expandable resinous materials which comprises: applying to selected, predetermined portions of the surface of heat-foamable and expandable resinous materials an aqueous-alcoholic, foam-growth-controlling printing ink composition according to any one of the preceding claims and heating said heatfoamable and expandable resinous materials whereby said selected, predetermined portions to which said aqueous-alcoholic, foam-growthcontrolling printing ink composition was applied attain heights differing from and contrasting with those other portions to which said aqueous-alcoholic, foamgrowth-controlling printing ink composition was not applied.

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